CORRECTION OF MULTIPLE TRANSMISSION IMPAIRMENTS

FIELD OF THE INVENTION

This invention relates to the transmission of information in analog form and, specifically, to the
5   combined and simultaneous correction, using digital techniques, of a plurality of impairments of such
information.

BACKGROUND OF THE INVENTION

The generation, transmission, and reception of information often requires the use of complex methods
10   of representing the information. This is especially true when the information is digital data that is
transported as modulation on a carrier. In this case, signals with very complex modulation formats are
often used in order to conserve spectral space by "packing" more data into a given bandwidth.

In general, the more complex the modulation format used, the more the signal is subject to various
forms of impairment imposed on it by defects in various elements of the signal transport chain. Among
15   these defective elements causing impairments are misaligned filters, multipath transmission, quadrature
errors in inphase (I) and quadrature (Q) processing channels, and nonidentical I and Q channels.

The existence of such impairments to the signal causes increased data errors or, alternatively, more
effort for error detection and correction.

Although some impairments – such as signal compression – are nonlinear and often result in
20   irreversible damage to the signal, many common impairments can be regarded as linear operations on
the signal. The number of potential impairments is increased by the fact that data signals are usually
processed in dual (I and Q) channels; that is, as two-component signals. Linear impairments can, in
principle, be removed by additional linear operations which undo the impairment. The linear operators
can be regarded as two-channel filters whose characteristics are inverse to those of the impairments. In
25   general, if there are two known impairments, the cure is two filters in cascade, each adjusted to
compensate for one impairment. Three impairments would require three filters, and so on. A single
two-channel filter for dealing with all the linear impairments simultaneously has not appeared, although
it would simplify both hardware and software filtering and reduce signal latency.

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SUMMARY OF THE INVENTION

The embodiment of the invention disclosed herein concerns the use of a novel form of two-channel filter, referred to in this disclosure as a generalized filter for two-component signals. Heretofore, the concept of two-channel filters has referred to a) a way to combine the description of two independent

5   filters, one for each part of a two-component signal, such as the I and Q channels in signal processing; or b) a filter whose impulse response is complex ($h_r + jh_i$), requiring a separate channel for each component of the response. Both types of filters have been used in the prior art for compensating the effects of certain impairments on signals. In these filters, two independent descriptions (or degrees of freedom) are required to characterize the filter for computing its response to an arbitrary signal. The

10   embodiment disclosed herein concerns the use of a generalized filter for correcting several types of impairments that can occur in receivers for data-modulated signals. However, the inventive principles are equally applicable to generators of data-modulated signals, which would include elements such as dual data channels, quadrature mixers (that is, up-converting modulators), and IF filters, all used in the process of converting baseband data into a modulated RF signal.

15   In this disclosure, several signal impairments are identified and an appropriate filter characteristic for compensating for the effect of the impairment is determined for each. Then, contrasted with prior methods, these characteristics are combined, with a view to dealing with all the impairments simultaneously in a single filter. Such a generalized filter must be characterized by <u>four</u> independent descriptions or degrees of freedom. If I and Q are the two components of an input signal, there are four

20   impulse responses associated with this filter: direct terms in I-I and Q-Q, and cross-channel terms in I-Q and Q-I.

Two significant features of the embodiment of the invention disclosed herein are a) a method for determining the characteristics of a generalized filter which will compensate for a plurality of different signal impairments, and b) a method for efficiently computing the output of a digital embodiment of

25   such a filter. The latter uses a FFT-based procedure and is analogous to the well-known procedure used for simpler one- or two-degree-of-freedom filters, although there is no obvious extension of that procedure to the generalized filter case.

BRIEF DESCRIPTIONS OF THE DRAWINGS

30   Fig. 1 shows a block diagram of a signal processing chain for identifying sources of impairments

Fig. 2 illustrates the frequency response of an IF filter which may impair signals, and its form when frequency translated to baseband

Fig. 3 shows the characteristic of a filter for compensating the IF filter of Fig. 2

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Fig. 4 illustrates a characteristic of an impaired signal processing chain in which the I and Q axes are

not orthogonal

Fig. 5 shows the frequency response of an I or Q channel and the characteristic of a compensating filter

Fig. 6 shows the signal flow occurring for one sample value of a generalized filter

5   Fig. 7 shows an overall diagram of a generalized filter composed of individual FIR filters

DETAILED DESCRIPTION OF THE INVENTION

Refer now to Fig 1 which shows a block diagram of the analog portion of a receiver such as those

typically used in digital data communications. RF signals enter antenna 10 and, amplified by an RF

10   amplifier (not shown), enter a first mixer 11. A first LO (local oscillator) drives mixer 11 and mixes

with the RF signals. The frequency of the LO is chosen such that a desired RF signal, when frequency

translated by the mixer, will be centered in the pass band of IF band pass filter 12. The output of band

pass filter 12 is passed through an amplifier (not shown), then supplied to two mixers (that is, down-

converters) 13-I and 13-Q. These mixers are the initial elements of two nominally identical processing

15   channels I (inphase) and Q (quadrature). The original single component IF signal is thus converted into

a two-component baseband signal, having been translated from the IF frequency emerging from filter

12. Mixers 13-I and 13-Q perform this translation and conversion. Mixer 13-I is driven by the 2nd LO,

and mixer 13-Q is driven by the same LO shifted 90° by phase shifter 13-2. Thus, the signal in the Q

channel is nominally the same as that in the I channel, except that all its frequency components are

20   shifted by 90°. This two-component I,Q signal is filtered in nominally identical low pass filters 14-I

and 14-Q. If the remainder of the signal processing is to be digital, then the outputs of low pass filters

14-I and 14-Q are digitized by analog-to-digital converters 15-I and 15-Q, respectively.

Fig 1 represents idealized signal processing. As was stated in the Background section and is well

known to practitioners of this art, various impairments in the elements of this receiver limit dynamic

25   range, distort modulation constellations, and cause other such problems. Several of the most common

impairments amount to linear operations on the signal path and, as such, can be corrected by filters

whose characteristics are inverse to those of the impairments. Such correction is particularly attractive

in the case of digital processing of the baseband signals, since digital filters can be used. The

advantages of digital filters include greater precision than analog filters, coefficients that can be

30   changed to accommodate non-stationary impairment processes, and the ability to realize some transfer

functions not possible with analog filters.

The specific embodiment of the invention described herein teaches how to correct three of the most

common impairments, not with separate filters, as in the prior art, but in a single generalized filter

operating on a two-component signal such as that shown. Correction for additional impairments could

35   be added to the combined correction filter, provided they are essentially linear operations.

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In order to illustrate how this is accomplished, each of the three impairments is explained and characterized below.

IF Bandpass Filter Error: Fig 2 shows a simplified (straight line approximation) amplitude (A axis)
vs. frequency response 20 of IF filter 12 centered on a center frequency f_0 . The amplitude response is

5   shown asymmetric, as might happen if a multipole filter were mistuned. Because of this, signals
entering the IF filter will be compromised in passing through the filter. A common example is pure
AM, which has even symmetry around the carrier. The lack of symmetry in the filter response will
cause part of the sideband energy to be demodulated as a PM signal by the translation of the carrier to
zero frequency (AM to PM conversion). The way to avoid such corruption is to pass the signals

10   through filters which are symmetric, or – if this is not practical – to remove the corruption by
additional, compensating filtering. This compensation can be conveniently applied at baseband, rather
than at the IF, because of the linearity of the frequency translation. Hence, when the signals in the IF
filter are translated to around zero frequency by mixers 13-I and 13-Q (as indicated by the hollow
arrow), in effect the filter response is also translated by a frequency shift from f_0 to zero. The amplitude

15   response 21 around zero frequency is clearly not symmetric. In the time domain, this means that the
impulse response is not real but complex.

To restore the intrinsic symmetry of the baseband I and Q signal components, they can be passed
through a two channel compensating baseband filter. The frequency response of this filter should
complement that of the (translated) impaired filter, such that, when the frequency responses are

20   multiplied, the result represents the desired symmetric characteristic. Such a filter would have an
amplitude characteristic as indicated in Fig 3. Previous asymmetric IF response 21 is shown dotted (31)
for reference. The amplitude (A axis) characteristic of compensation filter 32 is shown in the passband,
and for some distance in the transition bands. Beyond this, indicated by the dotted lines, the
characteristics are unimportant and may be chosen to simplify the filter topography. (For simplicity, the

25   phase characteristics have not been shown in these figures; the combination of the impaired and
compensation filters should be conjugate symmetric in both amplitude and phase.)

Clearly, the compensation filter is also not symmetric, with the consequence that its time domain
impulse response will also be complex, say $h_r + jh_i$, where the h's are each a series of n real numbers,
assuming a FIR filter with n taps. In computing the response of such a filter in the time domain to a

30   two-component input signal also considered as complex, the convolution requires a sequence of
complex multiplications of the form $(A+jB)(C+jD)$. In this case, for reasons that will soon be apparent,
it is convenient to represent these calculations as a series of matrix multiplies:

$$\begin{bmatrix} A & -B \\ B & A \end{bmatrix} \begin{bmatrix} C \\ D \end{bmatrix}$$

Therefore, for the purpose of computing the effect of the compensation filter on the input signal, the filter's impulse response may be conveniently represented in the time domain by a set of **n** 2x2 matrices, each of the form

$$\begin{bmatrix} h_r & -h_i \\ h_i & h_r \end{bmatrix}$$

5   The output of the filter may be computed by convolving this matrix set with the input signal components S_I and S_Q represented as a 2x1 matrix.

Quadrature error: In down-converter 13, it is possible that mixers 13-I and 13-Q are not driven exactly in phase quadrature by LO 13-1. This means that the resultant I and Q signals will not be orthogonal, and hence, not independent. Fig 4 shows a diagram of the signal space defined by the I and

10   Q axes. In this space, the Q axis deviates by an angle α from being in quadrature with the I axis. (In general, both I and Q axes may require realignment, but only a misaligned Q axis is shown here in order not to clutter the figure). A signal sample S is shown in the IQ space as a point S_I, S_Q. In order to rotate axis Q to the orthogonal position Q' (while maintaining I' = I), the following transformation needs to be made:

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$$S_{I'} = S_I + S_Q \sin(\alpha)$$

$$S_{Q'} = 0 + S_Q \cos(\alpha)$$

where $S_{I'}$ and $S_{Q'}$ are now the coordinates of the point S in the orthogonal {I', Q'} space.

This operation can be cast in the form of a 2x2 matrix multiplying the two-component signal S:

$$\begin{bmatrix} S_{I'} \\ S_{Q'} \end{bmatrix} = \begin{bmatrix} 1 & \sin(\alpha) \\ 0 & \cos(\alpha) \end{bmatrix} \begin{bmatrix} S_I \\ S_Q \end{bmatrix}$$

20   Unlike the case of the filter above, there is only one matrix (n = 1) which multiplies all the sample values of S. In general, when it is necessary to rotate both axes I and Q through different angles, this single matrix will have 4 non-zero, independent terms.

I, Q channel mismatch: The nominally identical I and Q channels will rarely actually be identical. Mixers 13, low pass filters 14, and ADCs 15 will likely differ in gain, and the frequency response of

25   low pass filters 14 will not be flat and will differ from one another. These differences in gain can be compensated, and the frequency responses made flat in the desired passband, with the use of another two-channel filter.

Fig 5 shows a typical low pass amplitude (A axis) vs frequency response 50, and the response 51 of a possible compensating filter. (Again, only the amplitude response is shown for illustrative simplicity.)

Beyond some point 52 in the transition band, the characteristics of the compensation filter become unimportant, and may be chosen for convenience or simplicity.

Since the signal in each channel is a real time function, the impulse response for each will also be real. Thus, to correct the impairments, a dual-channel filter would have one impulse response h_I for the I

5   channel, and another h_Q for the Q channel. Again, it is convenient to express this filter as a set of 2x2 matrices:

$$\begin{bmatrix} h_I & 0 \\ 0 & h_Q \end{bmatrix}$$

These matrices are diagonal, since the I and Q branches are separate and independent and there are no cross terms.

10   **Combining the correction of signal impairments**: In the prior art, individual correction of the impairments described above has been accomplished with separate filter elements. In the invention disclosed herein, a single generalized two-channel filter simultaneously corrects all three forms of impairment. Particularly in hardware implementations of the present disclosure, there is considerable reduction of overall complexity, along with reduced latency, as the signal propagates through a shorter

15   structure overall.

Because the impairment processes are linear, the corrections may be combined. At this point, it should be clear why the three correction operations are put into the form of 2x2 matrices. If individual correction filters were used, these would likely be concatenated and the signal would pass through each in turn. In this embodiment of the invention, a single generalized filter applies the three corrections

20   simultaneously. To determine the characteristics of this filter, the combination of the three corrections may be computed by convolving their time domain characteristics.

If the impaired signal sequence is $\{x_I, x_Q\}$, and the corrected sequence is $\{y_I, y_Q\}$, the correction may be described in the time domain as

$$\begin{bmatrix} y_I \\ y_Q \end{bmatrix} = \begin{bmatrix} h_r & -jh_i \\ jh_i & h_r \end{bmatrix} * \begin{bmatrix} A & B \\ C & D \end{bmatrix} * \begin{bmatrix} h_I & 0 \\ 0 & h_Q \end{bmatrix} * \begin{bmatrix} x_I \\ x_Q \end{bmatrix},$$

25   where the asterisks represent convolution.

Because the second matrix on the right is not a sequence, but a single matrix, this expression may be simplified by replacing the first convolution with a matrix multiply:

$$\begin{bmatrix} y_I \\ y_Q \end{bmatrix} = \begin{bmatrix} h_r & -jh_i \\ jh_i & h_r \end{bmatrix} \begin{bmatrix} A & B \\ C & D \end{bmatrix} * \begin{bmatrix} h_I & 0 \\ 0 & h_Q \end{bmatrix} * \begin{bmatrix} x_I \\ x_Q \end{bmatrix}$$

Finally, by combining the three correction operations, the following compact form is obtained:

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$$\begin{bmatrix} y_I \\ y_Q \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{bmatrix} * \begin{bmatrix} x_I \\ x_Q \end{bmatrix}$$

Here, the set of 2x2 matrices $\{h\}$ represents a generalized filter for two-component signals. There are no constraints among the four elements of the matrix of a generalized filter. Unlike either the complex filter or the dual real filter, which have only two independent elements, the generalized filter has four.

5   Fig 6 shows the signal flow for the ith stage of an generalized filter of length **n**. This is illustrated as a direct-form FIR filter, although other configurations may be used. The mth sample of the two-component (I and Q) signal **x** enters at input port 65, while the previous sample, the $(m-1)$th, emerges at the right from the unit delays 61, having already been processed in the ith stage of the filter. Each component of is multiplied by both a self- and a cross-impulse term, and the products are collected in

10   the summing nodes 60, which are also collecting similar products from all the other stages of the filter. This is indicated by the plurality of arrows 62 shown connected to each summing node. The corrected output sequence **y**, also a signal with I and Q components, is available from the summing nodes comprising output port 66.

Fig. 7 shows the overall process in which the generalized filter is, in this embodiment, realized as four

15   independent FIR filters coupled between input x and output y. These filters are characterized by the set of $\{h\}$ matrices of the preceding paragraph.

Efficient computation for the generalized two-channel digital filter. The correlation computation represented symbolically above is the direct, time-domain operation of a generalized digital filter acting on a two-component signal x. For small impulse response lengths, along with modest speeds, the

20   required number of multiply-accumulate operations needed per sample of the input signal can be handled with high speed, special purpose hardware. But longer impulse responses and higher speeds can represent a difficult challenge for this so-called direct form computation. For example, a general two-channel filter with an impulse response 256 samples long, running at 100 MHz – the kind often needed in data transmission operations – would require 1024 multiplies every 10 nanosecond period, a

25   formidable hardware challenge.

In the prior art, it has been shown that, for the case of a one-component signal and a filter of either real or complex impulse response, the amount of computation can be reduced by using the time/frequency correspondence of convolution/multiplication, together with the Fast Fourier Transform. See, for instance, Oppenheim and Schafer, "Digital Signal Processing", Prentice-Hall 1975, pages 110 ff.

30   However, the present inventors know of no prior-art extension of this method to the generalized two-component signal case. In the present invention, such an extended method is disclosed, and the mathematical details may be found in the Appendix. The results of the extended method are incorporated in the following design procedure for implementing a generalized filter to simultaneously correct the impairments described previously. This procedure is applicable to processing a continuous

flow of input signal by operating on contiguous blocks of length N/2 samples of the input signal. The corrected output is produced in blocks of length N/2, which, when concatenated using appropriate buffering, become a continuous output signal flow.

Design procedure for compensating signal impairments by using a generalized filter. This

5 procedure assumes the need for compensating the elements of the embodiment of Fig 1: IF filter 12, quadrature mixers 13-I and 13-Q, and lowpass filters 14-I and 14-Q. However, the procedure is applicable to any number of concatenated elements in the signal path, provided that the impairments imposed by each element are linear in nature.

Initializing steps:

10 1. Choose a length N/2 for the finite impulse response of the correction filter, where N is an integer power of 2.

2. Characterize each filter element in the frequency domain, and determine the appropriate compensation in that domain which would satisfactorily correct the filter impairment. Use the Discrete Fourier Transform to convert the compensation characteristic for each filter into an

15 impulse response sequence of N/2 samples. Form each impulse response into a sequence of 2x2 matrices, as previously illustrated.

3. Determine the quadrature error in the mixers and the multiplier factors needed to restore quadrature. Put these into the form of a 2x2 matrix, as previously illustrated.

4. Combine these matrix sequences into a single matrix sequence h_n by convolution:

$$h_n = \begin{bmatrix} h_{11n} & h_{12n} \\ h_{21n} & h_{22n} \end{bmatrix}, \ 0 \leq n < N/2$$

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5. Form the complex vectors

$$a_n = \frac{(h_{11n} + h_{22n}) + j(h_{21n} - h_{12n})}{2} \ \text{ and } \ b_n = \frac{(h_{11n} - h_{22n}) + j(h_{21n} + h_{12n})}{2}$$

6. Append N/2 zeros to the end of a_n and b_n to make each of these complex vectors contain N entries.

25 7. Perform an N-point complex FFT on a_n and b_n to get A_k and B_k respectively.

The previous steps are preliminary and are not repeated unless the analog hardware (steps 1-4) and/or filter specifications (steps 5-7) change. The following steps are repeated for each new block of N/2 input samples, usually regarded as part of a continuous stream of data. These samples are contained in a vector $\mathbf{x}_n$ of length N.

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8. Transfer the second half of the previous input vector into the first half:

$$\begin{bmatrix} x_{In} \\ x_{Qn} \end{bmatrix} = \begin{bmatrix} x_{I(n+N/2)} \\ x_{Q(n+N/2)} \end{bmatrix}, \ 0 \le n < N/2$$

9. Load $N/2$ new data points into the second half of the input vector x_n

10. Treating x_n as a vector of complex numbers $x_{In} + jx_{Qn}$, perform an N-point complex FFT to get X_k.

5

11. Compute the complex vector $Y_k = A_k X_k + B_k X_{N-k}$, $0 \le k < N$, using the complex vectors A_k and B_k computed in step 7.

12. Perform an inverse N-point complex FFT on Y_k to get the complex vector y_n.

13. Use the second half of y_n as the $N/2$ output samples from this iteration of the computation:

10

$$\begin{bmatrix} y_{In} \\ y_{Qn} \end{bmatrix} = \begin{bmatrix} Re(y_{n+N/2}) \\ Im(y_{n+N/2}) \end{bmatrix}, \ \ 0 \le n < N/2$$

14. Return to step 8 for the next $N/2$ data points.

The following appendix includes mathematical details concerning the efficient calculation of the

15   response of the generalized filter to an arbitrary signal.

APPENDIX

A generalized linear processing block for sampled I,Q signals can be described as follows. Assume a sampled input sequence x_n where each sample consists of a two element vector representing the I and Q input channels. Similarly y_n is the output sequence, where each sample is a two element vector

20   representing the I and Q output channels.

$$y_n = \begin{bmatrix} y_{1n} \\ y_{2n} \end{bmatrix}$$

$$x_n = \begin{bmatrix} x_{1n} \\ x_{2n} \end{bmatrix}$$

The most general linear system relates the input and output sequences by the convolution

$$y_n = h_n \otimes x_n$$

where h_n is a sequence of 2x2 matrices which characterize the impulse response of the system.

$$h_n = \begin{bmatrix} h_{11n} & h_{12n} \\ h_{21n} & h_{22n} \end{bmatrix}$$

5   The h_{11n} sequence is the impulse response from the input I channel to the output I channel. The h_{12n} sequence is the impulse response from the input Q channel to the output I channel. The remaining two sequences are the Q channel impulse responses from the I and Q channel inputs. Note that this representation is more general than a complex coefficient filter, since a complex coefficient filter has the constraint that $h_{11n} = h_{22n}$ and $h_{12n} = -h_{21n}$. Note also that the general representation also covers the

10   case where the I and Q channels are filtered with independent real coefficient filters. This case has the constraint that $h_{12n} = h_{21n} = 0$.

To make the filter computable, apply the practical constraint that the impulse response sequence is non-zero only for a finite duration of $N/2$ samples from when the impulse is applied. Thus, the convolution can be written with the following summation. For the subsequent analysis N is restricted to be an

15   integer power of 2.

$$y_n = h_n \otimes x_n = \sum_{m=0}^{N/2-1} h_m x_{n-m} , \quad -\infty < n < \infty$$

An efficient method of computing the summation can be obtained by using FFT techniques. In order to cast the problem in this form, the input sequence and impulse response is first restated in terms of periodic sequences as follows.

20   $$h'_m = \begin{cases} h_{m \bmod N} , & 0 \leq m \bmod N < N/2 \\ 0, & \text{otherwise} \end{cases}$$

$$x'_n = x_{n \bmod N}$$

The output sequence can now be defined as

$$y'_n = \sum_{m=0}^{N-1} h'_m x'_{n-m}$$

An examination of the periodic output sequence, y'_n and the desired output sequence, y_n shows that they are equal over a portion of the sequence.

$$y'_n = y_n, N/2 \leq n < N$$

5   Subsequent summations using input blocks indexed from a different starting sample can be used to obtain the complete output sequence. For each N/2 samples out, the input sequence index is advanced by N/2 samples. In the following analysis it is useful to decompose the impulse response matrix as follows.

$$h_n = a_n + b_n$$

where

10    $$a_n = \begin{bmatrix} a_{1n} & -a_{2n} \\ a_{2n} & a_{1n} \end{bmatrix}$$

$$b_n = \begin{bmatrix} b_{1n} & b_{2n} \\ b_{2n} & -b_{1n} \end{bmatrix}$$

The significance of this decomposition is that matrices of 'type a' are equivalent to a complex multiplication by $a_{1n} + j a_{2n}$, if the input is interpreted as a complex number $x_1 + j x_2$. The 'type b' matrices represent that portion of the general impulse response which cannot be represented as a

15   complex multiplication. There are several useful facts about these matrices which can be exploited. Before stating these properties, first define the conjugate of a matrix as follows.

Given the matrix

$$M = \begin{bmatrix} w & x \\ y & z \end{bmatrix}$$

define the 'conjugate' of M as,

20    $$M^* = \begin{bmatrix} w & -x \\ -y & z \end{bmatrix}.$$

With this definition the following statements hold for all 'type a' matrices A_1, A_2 and for all 'type b' matrices B_1, B_2.

$$A_1 A_2 = A_2 A_1 = \text{type a}$$

$$B_1 B_2 = \left(B_2 B_1 \right)^* = \text{type a}$$

$$A_1 B_1 = B_1 A_1^* = \text{type b}$$

$$B_1 A_1 = A_1^* B_1 = \text{type b}$$

5   Using this decomposition, the periodic output sequence can now be written as

$$y_n' = \sum_{m=0}^{N-1} a_m' x_{n-m}' + \sum_{m=0}^{N-1} b_m' x_{n-m}'$$

The first of the two summations can be written as,

$$y_{an}' = \sum_{m=0}^{N-1} a_m' x_{n-m}' = \sum_{r=0}^{N-1} \delta(n-r) \sum_{m=0}^{N-1} a_m' x_{r-m}'$$

where δ is the delta or impulse function, which is unity only when $n = r$, and is zero otherwise. Over the

10   range of n and r of interest ($N/2 \le n < N$, $0 \le n < N$), the delta function can be replaced by the periodic delta function as represented by the summation in the following equation.

$$y_{an}' = \sum_{r=0}^{N-1} \frac{1}{N} \sum_{k=0}^{N-1} W_N^{k(n-r)} \sum_{m=0}^{N-1} a_m' x_{r-m}'$$

Where,

$$W_N^k = \begin{bmatrix} \cos(2\pi k / N) & -\sin(2\pi k / N) \\ \sin(2\pi k / N) & \cos(2\pi k / N) \end{bmatrix}$$

15   The W matrices are 'type a' and represent a rotation in the I Q plane through an angle of $2\pi k/N$. Since cascaded rotations are equivalent to a single rotation of the sum of angles, the following statement is true in general for rotation matrices.

$$W_N^k W_N^n = W_N^{k+n} = W_N^n W_N^k$$

These properties can be used to rewrite the previous summation. Note that the order of the summations

20   has been changed.

$$y'_{an} = \frac{1}{N}\sum_{k=0}^{N-1}W_N^{kn}\sum_{m=0}^{N-1}W_N^{-km}a'_m\sum_{r=0}^{N-1}W_N^{-k(r-m)}x'_{r-m}$$

The $W_N^{-k(r-m)}$ factor commuted with a'_m because both were 'type a' matrices. This will not be the case when the summation involving b'_m is examined. In the final summation the substitution $p = r-m$ can be made. Then, using the fact that both W_N^{-kp} and x'_p are periodic over the summation interval, the starting

5   point of the summation is arbitrary. Thus, the sum can be rewritten as follows.

$$y'_{an} = \frac{1}{N}\sum_{k=0}^{N-1}W_N^{kn}\sum_{m=0}^{N-1}W_N^{-km}a'_m\sum_{r=0}^{N-1}W_N^{-kr}x'_r$$

Now introduce the following notation for the second and third summations.

$$A_k = \sum_{m=0}^{N-1}W_N^{-km}a'_m$$

$$X_k = \sum_{r=0}^{N-1}W_N^{-kr}x'_r$$

10   A_k is a type 'a' matrix, and X_k is a vector. Using this notation gives the following result.

$$y'_{an} = \frac{1}{N}\sum_{k=0}^{N-1}W_N^{kn}\left(A_k \cdot X_k\right)$$

The same analysis can be done for the term in the periodic output sequence involving b'_m. The only difference is that rearranging the multiplication with a type 'b' matrix requires that the rotation matrix be conjugated. This is equivalent to replacing the index k with $-k$. The final result for the total periodic

15   output can then be written as follows.

$$y'_n = \frac{1}{N}\sum_{k=0}^{N-1}W_N^{kn}\left(A_kX_k + B_kX_{N-k}\right)$$

The computation of A_k can be cast exactly into the form of a complex discrete Fourier transform by forming a complex scalar equation.

$$A_{1k} + jA_{2k} = \begin{bmatrix} 1 & j \end{bmatrix}\left[\sum_{m=0}^{N-1}W_N^{-km}a'_m\right]\begin{bmatrix} 1 \\ 0 \end{bmatrix} = \sum_{m=0}^{N-1}e^{-2\pi jkm/N}\left(a'_{1m} + ja'_{2m}\right) = F_N\left\{a'_{1m} + ja'_{2m}\right\}$$

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Where the operator F_N denotes the N-point discreet Fourier transform of a complex sequence. The resulting A_k matrix can be written in terms of the real and imaginary parts of the transformed sequence.

$$A_k = \begin{bmatrix} A_{1k} & -A_{2k} \\ A_{2k} & A_{1k} \end{bmatrix}$$

Similarly, given the Fourier transform,

5    $B_{1k} + jB_{2k} = F_N \{ b'_{1m} + jb'_{2m} \}$

the B_k matrix is,

$$B_k = \begin{bmatrix} B_{1k} & B_{2k} \\ B_{2k} & -B_{1k} \end{bmatrix}$$

Lastly, the X_k vector can be computed with the following Fourier transform.

$$X_{1k} + jX_{2k} = F_N \{ x'_{1m} + jx'_{2m} \}$$

10   $$X_k = \begin{bmatrix} X_{1k} \\ X_{2k} \end{bmatrix}$$

In the case that a'_k is diagonal for all k, the Fourier transform has conjugate symmetry so that

$$A_{N-k} = A_k^*$$

Similarly, if b'_n is diagonal for all n, the B_k matrix has symmetry.

$$B_{N-k} = B_k^*$$

15   These symmetries can be exploited to require less coefficient storage when the impulse response matrix sequence is known to contain only diagonal matrices.

(end of appendix)

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From the foregoing, it will be recognized that the detailed embodiment is illustrative only, and should not be taken as limiting the scope of our invention. For example, although the embodiment disclosed a receiver as a platform for practicing the principles of the invention, such principles would readily apply to the generation of a modulated signal, beginning with the data to be transmitted. In this case, a

5   generalized filter could be constructed to predistort the data signals in such a way as to compensate impairments occurring later in the processing paths. Likewise, many other forms of linear impairments other than those exemplified may be compensated through practicing the principles of the invention. An example of such impairments is multipath transmission. Therefore, we claim as our invention all such variations as may fall within the scope and spirit of the following claims and equivalents thereto.

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